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Application Number

| DEMAR | 9 | | Application Number | | | 10/682,184 |) | | | |
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| INANSIMITIAL | | | Filing Date | | | 10/09/2003 | | | | |
| | FORM | | First Named Inventor | | | Lee A. Core | | | | |
| (to | o be used for all correspondence after initial | g) Art Unit | | | 3763 | | | | | |
| | | | Examiner Name | | | TBA | | | | |
| Tot | tal Number of Pages in This Submission | | Attorney Docket Number | Attorney Docket Number 1065 | | | | | | |
| | | EN | CLOSURES (Check all that | it apply |) | | | | | |
| | Fee Transmittal Form | | Drawing(s) | | | After Allowance communication to Group Appeal Communication to Board | | | | |
| | Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement | | Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) | ress | - F | of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Postcard | | | | |
| | Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | 1 2 | narks] 1. PTO Form 1449 (1 pg.) 2. International Search Repo 3. 7 Publications | ort - P | CT/US | S03/32133 | | | | |

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| | ondence is being facsimile transmitted to the USPTO or deposit mail in an envelope addressed to: Commissioner for Patents, P. | | |
| Typed or printed name | Tina M. Dougal | <u></u> . | |
| Signature | Imam. Dougal | Date | 5-21-04 |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Michael A. Diener

Firm

Individual name Signature Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Lee A. Core

Serial No.:

10/682,184

Filing Date:

October 9, 2003

Title:

Hemostatis Valve

Examiner:

TBA

Group Art Unit:

3763

Attorney Docket No.:

106586.170US2

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 21, 2004.

Tina M. Dougal

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 1.97(e)(1)

Sir:

Applicant and his legal representatives hereby make of record on the attached PTO Form-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98. Copies of the publications are enclosed.

Applicant states under 37 C.F.R. §1.97(e)(1) that the publications provided herewith were cited in an International Search Report issued in connection with a counterpart international (PCT) patent application not more than three months prior to the filing of this statement.

The submission of these publications does not constitute a representation by the Applicant that a search has been made or that no better art exists and does not constitute an admission that the listed publications are material or constitute "prior art." Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over

Serial No. 10/682,184 Lee A. Core Page 2

the listed publications, should one or more of the publications be applied against the claims of the present application.

No fee is believed to due in connection with the filing of this Statement as the references provided herein are being timely filed under 37 CFR 1.97(e)(1).

However, in the event a fee is due, please charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Dated: May 21, 2004

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APPLICATION NUMBER DOCKET NUMBER HALE AND DORR LLP DRAFT OF MARCH 19, 2004 106586.170US2 10/682,184 SUBT. FOR, PTO-1449 APPLICANT INFORMATION DISCLOSURE Lee A. Core IN AN APPLICATION FILING DATE **GROUP ART UNIT** (USE SEVERAL SHEETS IF NECESSARY) ESHEET OF 1 10/09/03 3763 . 1

| of. | U.S. Patent Documents | | | | | | | | | |
|-----------------------|-----------------------|----------|------------------|-------|----------|----------------------------------|--|--|--|--|
| ADEXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | | | |
| | 4,610,674 | 09/09/86 | Suzuki et al | 604 | 282 | | | | | |
| | 4,626,245 | 12/02/86 | Weinstein | 604 | 167 | | | | | |
| | 5,149,327 | 09/22/92 | Oshiyama | 604 | 167 | | | | | |
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| Foreign Patent Documents | | | | | | | |
|--------------------------|----------|------|---------|-------|----------|-------------|----|
| EXAMINER | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| INITIAL | NUMBER | | | | | YES | NO |
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| , | Other Documents (Including Author, Title, Date Pertinent Pages, Etc.) |
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| A1 | |
| A2 | |
| A3 | |

| EXAMINER | DATE CONSIDERED | |
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.